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# UTILITY PATENT APPLICATION TRANSMITTAL

## (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
18193.16.0

Total Pages in this Submission

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**IMPROVED IMAGE CONVERSION AND ENCODING TECHNIQUES**

and invented by:

**Philip Victor Harman**☐ If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☒ **Continuation** ☐ **Divisional** ☐ **Continuation-in-part (CIP)** of prior application No.: **PCT/AU98/01005**

Which is a:

☐ **Continuation** ☐ **Divisional** ☐ **Continuation-in-part (CIP)** of prior application No.: \_\_\_\_\_

Which is a:

☐ **Continuation** ☐ **Divisional** ☐ **Continuation-in-part (CIP)** of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 31 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☐ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*  
a. ☒ Formal      b. ☐ Informal      Number of Sheets 14
4. ☒ Oath or Declaration  
a. ☒ Newly executed *(original or copy)*      ☐ Unexecuted  
b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*  
c. ☒ With Power of Attorney      ☐ Without Power of Attorney  
d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission *(if applicable, all must be included)*  
a. ☐ Paper Copy  
b. ☐ Computer Readable Copy  
c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & documents)*
9. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certificate of Mailing  
☐ First Class      ☒ Express Mail *(Specify Label No.):* EL486374856US

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
18193.16.0

Total Pages in this Submission

## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: 2
17. ☐ Additional Enclosures (please identify below):


## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	52	- 20 =	32	x \$9.00	\$288.00
Indep. Claims	9	- 3 =	6	x \$39.00	\$234.00
Multiple Dependent Claims (check if applicable) <input checked="" type="checkbox"/>					\$130.00
BASIC FEE					\$345.00
OTHER FEE (specify purpose) Recording fee for Assignment					\$40.00
TOTAL FILING FEE					\$1,037.00

- ☒ A check in the amount of \$1,037.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 061910 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: 5 June 00

  
Signature  
Edward S. Hotchkiss  
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1100 International Centre  
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cc:

APPLICANT OR PATENTEE: \_\_\_\_\_  
SERIAL NO. OR PATENT NO. \_\_\_\_\_ Attorney's Docket No.: \_\_\_\_\_  
FILED OR ISSUED: \_\_\_\_\_  
TITLE: \_\_\_\_\_

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled:

IMPROVED IMAGE CONVERSION AND ENCODING TECHNIQUES described in:

- ☐ The specification filed herewith  
☐ Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization  
☒ persons, concerns, or organizations listed below \*


\* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

FULL NAME: Dynamic Digital Depth Research Pty. Ltd.  
ADDRESS: 8 Brodie Hall Drive, Bentley, W.A. 6102, Australia  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Philip Victor Harman

NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
		
SIGNATURE OF INVENTOR	SIGNATURE OF INVENTOR	SIGNATURE OF INVENTOR
23 MARCH 2000		
DATE	DATE	DATE

APPLICANT OR PATENTEE: \_\_\_\_\_ Attorney's Docket No.: \_\_\_\_\_

SERIAL NO. OR PATENT NO. \_\_\_\_\_

FILED OR ISSUED: \_\_\_\_\_

TITLE: \_\_\_\_\_

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am:

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Dynamic Digital Depth Research Pty. Ltd.

ADDRESS OF CONCERN: 8 Brodie Hall Drive, Bentley, W.A. 6019, Australia

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled:

IMPROVED IMAGE CONVERSION AND ENCODING TECHNIQUES

by inventor(s) Philip Victor Harman

described in:

☐ the specification filed herewith.

☐ Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by an concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

FULL NAME: DYNAMIC DIGITAL DEPTH RESEARCH PTY LTD

ADDRESS: 8 BRODIE HALL DRIVE, BENTLEY WA 6019 AUSTRALIA

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

FULL NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 101 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: CRAGUE HARGIS

TITLE OF PERSON OTHER THAN OWNER: COMPANY SECRETARY

ADDRESS OF PERSON SIGNING: 75 TATE ST, WEST LEEDERVILLE WA AUSTRALIA

SIGNATURE: \_\_\_\_\_

DATE: 23/3/00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of )  
Harman ) Attorney Docket 18193.16.0  
)  
Serial No. (TO BE ASSIGNED) )  
(Continuation of PCT/AU98/010005 )  
Filed: (CONCURRENTLY HEREWITH )  
)  
For: IMPROVED IMAGE )  
CONVERSION AND )  
ENCODING TECHNIQUES )

**SENT VIA EXPRESS MAIL POST OFFICE TO ADDRESSEE  
MAILING LABEL NO. EL486374856US**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

Please amend the claims this application as follows:

**In the Specification:**

On Page 1, after the title, please insert the following:

**Cross-Reference to Related Applications**

The present application is a continuation of International Application No. PCT/AU98/01005 (published as International Publication No. WO 99/30280), filed 3 December 1998 and designating the United States, which in turn claims priority from Australian Applications No. PP 2865 filed 8 April 1998 and PP 0778 filed 5 December 1997, the teachings of all of which are incorporated herein by reference.

**In the Claims:**

Please amend claims 4, 5, 6-9, 11, 13, 15, 18, 19, 23, 31, and 34 as follows:

In claim 4, line 1, please rewrite "any preceding claim" as -- claim 1 or claim 2 --.

In claim 5, line 1, please rewrite "any preceding claim" as -- claim 1 or claim 2 --.

In the first line of each of claims 6-9, please rewrite "any one of claims 1 to 4" as -- claim 1 or claim 2 --.

In claim 11, line 1, please rewrite "any preceding claim" as -- claim 1 --.

In claim 13, line 1, please rewrite "any one of claims 1 to 10" as -- claim 1 --.

In claim 15, line 1, please rewrite "any preceding claim" as -- claim 1 --.

In claim 18, line 1, please rewrite "any preceding claim" as -- claim 1 --.

In claim 19, line 1, please rewrite "any preceding claim" as -- claim 1 --.

In claim 23, line 1, please rewrite "any preceding claim" as -- claim 1 --.

In claim 31, line 1, please rewrite "any one of claims 28 to 30" as -- claim 28 or claim 29 --.

In claim 34, line 1, please rewrite "any one of claims 27 to 33" as -- claim 27 --.

#### **REMARKS**

The present Preliminary Amendment amends the specification to refer back to the applications from which it claims priority and amends the claims to avoid improper multiple dependencies. Please enter the present amendment prior to calculation of any filing fees.

Upon entry of the present amendment, there will be a total of 42 claims, of which nine claims (claims 1, 24, 27, 36-39, 41, and 42) are independent. It is believed that there are a total of 52 claims once multiple dependencies are taken into account. As a consequence, the extra claims fees for this application are believed to total \$522 (6 excess independent claims at \$39 ea. plus 32 excess total claims at \$9 ea.), small entity status having been established. This amount is included in the check for the filing fee for this application. If any additional claims fees are necessitated by this amendment, though, they may be charged to Deposit Account No. 061910.

Respectfully submitted,

Dated: 5 June 00

  
Edward S. Hotchkiss  
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### Improved Image Conversion and Encoding Techniques

The present invention is generally directed towards stereoscopic image synthesis and more particularly toward an improved method of converting two dimensional (2D) images for further encoding, transmission and decoding for the purpose of stereoscopic image display. The Applicants have previously described in PCT/AU96/00820, a method of producing left and right eye images for a stereoscopic display from an original 2D image including the steps of

- a. identifying at least one object within an original image
- b. outlining each object
- 10 c. defining a depth characteristic for each object
- d. respectively displacing selected areas of each object by a determined amount in a lateral direction as a function of the depth characteristic of each object, to form two stretched images for viewing by the left and right eyes of the viewer.
- 15 These steps can be individually and collectively referred to as Dynamic Depth Cuing or DDC.

The present invention further improves the operation of the Applicant's earlier system.

The present invention provides in one aspect a method of producing a depth map for use in the conversion of 2D images into stereoscopic images including the steps of:

- identifying at least one object within a 2D image;
- allocating said or each object with an identifying tag;
- allocating said or each object with a depth tag; and
- 25 determining and defining an outline for each or said object.

In a further aspect the present invention provides a method of encoding a depth map for use in the conversion of 2D images into stereoscopic images including :

- allocating an object identifier to an object;
- 30 allocating said object with a depth tag; and
- defining the object outline.

The object outline may be defined by a series of co-ordinates, curves



and/or geometric shapes. Conveniently the identifying tag can be a unique number.

In another aspect the present invention provides for the use of bezier curves to generate an outline of an object in a 2D to 3D conversion process.

- 5 In yet a further aspect the present invention provides for the use of curves to define an object in a 2D to 3D conversion process.

In another aspect the present invention provides for the use of geometric shapes to define an outline of an object in a 2D to 3D conversion process.

- 10 In another aspect the present invention provides a method of transmission of depth map information wherein the information is included in the Vertical Blanking Interval or MPEG data stream

In still a further aspect the present invention provides for the use of generic libraries to assist in the 2D to 3D conversion process.

- 15 To provide a better understanding of the present invention, reference is made to the accompanying drawings which illustrate a preferred embodiment of the present invention.

In the drawings:

Figures 1 and 2 shows a preferred method of conversion from depth map data to distortion grid.

- 20 Figures 3, 4, 5 and 6 show various techniques of determining the outline of an object as disclosed by the present invention.

Figure 7 shows a sample distortion grid.

Figure 8 shows a block diagram of a hardware decoder for an alternative decoder.

- 25 Figure 9 shows a sample flow diagram of a decoding process of an alternative decoder.

Figure 10 shows an example of an undistorted mesh.

Figure 11 shows a sample depth map of a cone.

Figure 12 shows a sample mesh modified with a depth map.

- 30 Figures 13 to 16 show one method of translating depth maps Z elevations into X displacements.

Figure 17 depicts an original frame on an undistorted mesh.

Figure 18 shows a sample mesh modified with an X displacement map.

Figure 19 shows a sample combination of original frame mesh and displacement mesh.

Figure 20 shows a sample resultant stretched image for an alternate eye.

5 Figure 21 shows a simplified displacements flow chart.

#### Object identification

Objects in the 2D image to be converted may be identified by a human operator using visual inspection. The operator will typically tag each object, or group of objects, in the image using a computer mouse, light pen, stylus or other  
10 device and assign a unique number to the object. The number may be manually created by the operator or automatically generated in a particular sequence by a computer.

Objects may also be identified fully automatically using a computer or semi-automatically whereby an operator assists the computer to determine the  
15 location of an object(s).

To automatically identify an object the computer will use such characteristics as object size, colour, speed of motion, shading, texture, brightness, obscuration, focus as well as differences between previous and current and future images. Neural networks and expert systems may also be  
20 used to assist with identifying objects.

In semi-automatic object identification an operator may provide assistance to the computer by advising the computer as to the nature of the image where objects may be found. For example the operator may advise the computer that the scene is of the generic format "News Reader" in which case  
25 the computer will attempt to locate the head and shoulders of the news reader, desk and background etc. The operator may choose from a menu of possible generic scenes. The operator may manually override and/or correct and adjust any object selection made by the computer. The computer program may learn from these corrections, using neural networks or expert systems for example, so  
30 as to continually improve the accuracy of object identification and numbering.

Once an object has been identified and numbered the object may then be tracked either manually, automatically or semi-automatically as it moves

within the image over successive frames.

An operator may also use object identification information produced by another operator either working on the same sequence or from prior conversion of similar scenes.

## 5 Object Outlining

The outline of an object or objects may be determined either manually, automatically or semi-automatically.

- In manual outlining the operator may trace the outline of the object or objects using a computer mouse, light pen, stylus or other device. The operator
- 10 may select the outline of the object on a pixel by pixel basis, use straight line or curve approximations, bezier curves or best fit from a library of curves or generic shapes. The operator may also choose from a library of generic shapes which may already be of approximately the correct shape and scale or adjust the shape dynamically to fit. For example the operator may wish to select the outline
- 15 of a man in which case the generic outline of a man may be retrieved from the library and adjusted accordingly, either manually, semi-automatically or automatically. The operator may also select from a library of geometric shapes such as circles, ellipses, triangles, squares etc.

- In automatic outlining the computer may use such characteristics as size, colour, speed of motion, shading, brightness, obscuration, differences between
- 20 previous and current and future images. Neural networks and expert systems may also be used to determine the outline of objects.

- In semi-automatic outlining an operator may provide assistance to the computer by advising the computer as to the nature of the image where objects
- 25 may be found. For example the operator may advise the computer that the scene is of the generic format "News Reader" in which case the computer will attempt to locate the head and shoulders of the news reader, desk and background etc. The operator may choose from a menu of possible generic objects. The operator may manually override and/or correct and adjust any
- 30 object outlining made by the computer. The computer program may learn from these corrections, using neural networks or expert systems for example, so as to continually improve the accuracy of outlining.

Once an object has been outlined the object may then be tracked either manually, automatically or semi-automatically as it moves within the image over successive frames.

An operator may also use object outline information produced by another operator either working on the same sequence or from prior conversion of similar scenes. The operator may also choose from a library of predefined outlines, which may include geometric shapes such as circles, ellipses, triangles, squares etc, and either manually, semi-automatically or automatically adjust the library outline to fit the selected object. The library may be indexed by individual outlines eg News Reader or based upon a particular family of objects eg Horse Race, Evening News etc.

#### Defining depth

The depth of an object or objects may be determined either manually, automatically or semi-automatically. The depth of the objects may be assigned using any alphanumeric, visual, audible or tactile information. In the preferred embodiment the depth of the object is indicated by shading the object with a particular colour. Typically this will be white for objects that are to appear, once converted, at a 3D position closest to the viewer and black for objects that are at the furthest 3D distance from the viewer. Obviously this convention may be altered, eg reversed or colours used to indicate relative or absolute depth.

In another embodiment the depth of the object may be assigned a numerical value. This value may be positive or negative, in a linear or non-linear series and contain single or multiple digits. In a preferred embodiment this value will range from 0 to 255, to enable the value to be encoded in a single byte, where 255 represents objects that are to appear, once converted, at a 3D position closest to the viewer and 0 for objects that are at the furthest 3D distance from the viewer. Obviously this convention may be altered, eg reversed or another range used.

In manual depth definition the operator may assign the depth of the object or objects using a computer mouse, light pen, stylus or other device. The operator may assign the depth of the object by placing the pointing device within the object outline and entering a depth value. The depth may be entered

by the operator as a numeric, alphanumeric or graphical value and may be assigned by the operator or automatically assigned by the computer from a predetermined range of allowable values. The operator may also select the object depth from a library or menu of allowable depths.

- 5 The operator may also assign a range of depths within an object or a depth range that varies with time, object location or motion or any combination of these factors. For example the object may be a table that has its closest edge towards the viewer and its farthest edge away from the viewer. When converted into 3D the apparent depth of the table must vary along its length. In order to
- 10 achieve this the operator may divide the table up into a number of segments and assign each segment an individual depth. Alternatively the operator may assign a continuously variable depth within the object by shading the object such that the amount of shading represents the depth at that particular position of the table. In this example a light shading could represent a close object and dark
- 15 shading a distant object. For the example of the table, the closest edge would be shaded lightly, with the shading getting progressively darker, until the furthest edge is reached.

The variation of depth within an object may be linear or non-linear and may vary with time, object location or motion or any combination of these factors.

- 20 The variation of depth within an object may be in the form of a ramp. A linear ramp would have a start point (A) and an end point (B). The colour at point A and B is defined. A gradient from Point A to Point B is applied on the perpendicular line.

- A Radial Ramp defines a similar ramp to a linear ramp although it uses
- 25 the distance from a centre point (A) to a radius (B).

A simple extension to the Radial Ramp would be to taper the outside rim, or to allow a variable sized centre point.

- A Linear Extension is the distance from a line segment as opposed to the distance from the perpendicular. In this example the colour is defined for the
- 30 line segment, and the colour for the "outside". The colour along the line segment is defined, and the colour tapers out to the "outside" colour.

A variety of ramps can be easily encoded. Ramps may also be based on

more complex curves, equations, variable transparency etc.

In another example an object may move from the front of the image to the rear over a period of frames. The operator could assign a depth for the object in the first frame and depth of the object in the last or subsequent scene. The computer may then interpolate the depth of the object over successive frames in a linear or other predetermined manner. This process may also be fully automated whereby a computer assigns the variation in object depth based upon the change in size of an object as it moves over time.

In automatic depth defining the computer may use such characteristics as size, colour, speed of motion, shading, brightness, obscuration, focus, differences between previous and current and future images. Neural networks and expert systems may also be used to determine the depth of objects.

In semi-automatic depth defining an operator may provide assistance to the computer by advising the computer as to the nature of the image where depths are to be assigned. For example the operator may advise the computer that the scene is of the generic format "News Reader" in which case the computer will attempt to locate the head and shoulders of the news reader, desk and background etc and place these in a logical depth sequence. The operator may choose from a menu of possible generic objects and depths. The operator may manually override and/or correct and adjust any object depth decision made by the computer. The computer program may learn from these corrections, using neural networks or expert systems for example, so as to continually improve the accuracy of depth assigning.

Once an object has been assigned a specific depth the object may then be tracked either manually, automatically or semi-automatically as it moves within the image over successive frames.

An operator may also use depth definitions produced by another operator either working on the same sequence or from prior conversion of similar scenes.

#### Multiple Operators

In order to convert a video sequence in a timely manner it may be necessary for a number of operators to be working on the 2D source material. Whilst these could be located in the same premises, by using on-line computer

services, for example the Internet, operators could be located anywhere worldwide. In such an arrangement, to ensure the security of the source material it may be necessary to remove the audio and modify the colours of the image. This will have no effect on the operators ability to determine the outline  
5 of an object but prevents pirating of the original source material. As the actual selection of an objects outline is a relatively simple process this could most cost effectively be performed in countries with low labour costs. In using this arrangement the conversion procedure could conveniently be as follows:

1. A supervising operator identifies a video sequence to be converted  
10 into 3D and numbers each frame of the sequence.
2. The supervisor applies the necessary security procedures if necessary.
3. The supervisor identifies the object(s) in the scenes that require to be outlined and uniquely tags each as previously described.
- 15 4. The video sequence is then converted into a suitable digital format and transmitted via the on-line service to the remote destination(s). For long video sequences this may be uneconomical in which case delivery on CD-ROM or other back-up media may be preferable.
5. The sequence is received by the remote location where the  
20 operator(s) undertake the object manipulation.
6. Since the results of the manipulation result in the object outlines being identified, the data for which may be subsequently compressed, the file size will generally be substantially smaller than the original images. This being the case the object information may conveniently be returned to the supervisor  
25 using on-line email services.
7. The supervisor undertakes quality control on the object outlines received and matches the frame numbers to the original video source material.
8. The supervisor then passes the object outlines and original source material to a subsequent operator who applies the necessary depth information  
30 for each object.

Since the application of depth information is an artistic and creative process it is considered desirable, although not essential, that this be

undertaken in a central location by a small group of operators. This will also ensure consistency of object depths over a long sequence.

### Defining Complex Depth

In order to produce more realistic looking 3D it is sometimes desirable to  
5 utilise depth definitions that are more complex than simple ramps or linear variations. This is particularly desirable for objects that have a complex internal structure with many variations in depth, for example, a tree. The depth map for such objects could be produced by adding a texture bump map to the object. For example, if we consider a tree, the first step would be to trace around the  
10 outline of the tree and then assign the tree a depth. Then a texture bump map could be added to give each leaf on the tree its own individual depth. Such texture maps have been found useful to the present invention for adding detail to relatively simple objects.

However, for fine detail, such as the leaves on a tree or other complex  
15 objects, this method is not preferred, as the method would be further complicated should the tree, or the like, move in the wind or the camera angle change from frame to frame. A further and more preferred method is to use the luminance (or black and white components) of the original object to create the necessary bump map. In general, elements of the object that are closer to the  
20 viewer will be lighter and those further away darker. Thus by assigning a light luminance value to close elements and dark luminance to distant elements a bump map can be automatically created. The advantage of this technique is that the object itself can be used to create its own bump map and any movement of the object from frame to frame is automatically tracked. Other attributes of an  
25 object may also be used to create a bump map, these include but are not limited to, chrominance, saturation, colour grouping, reflections, shadows, focus, sharpness etc.

The bump map values obtained from object attributes will also preferably be scaled so that the range of depth variation within the object are consistent  
30 with the general range of depths of the overall image.

### Depth Maps

The process of detecting objects, determining their outline and assigning



depths we will refer to as the creation of Depth Maps. In a preferred embodiment, the depth maps would consist of grayscale images of 80x60x8bit resolution to enable the objects within the associated 2D image to be defined at one of 256 individual depths.

- 5 Alternatively the shape of the curve can be defined as a ratio of the distance between the sequential xy coordinates and the displacement of the curve from a straight line between these points.  $x_1y_1$  and  $x_2y_2$  located on a line A and being joined by a curve. The curve between these points has a maximum displacement B measured from the line A to the midpoint of the curve. The
- 10 curve can therefore be defined as follows:

$$\text{curve} = B/A$$

- which preferably will have a value from -128 to +128 with 0 indicating a straight line between the two points. It should be noted that since the value assigned to the curve is the ratio of two measurements then the same curve value may be
- 15 assigned to other curves that have the same B/A ratio.

#### Encoding of depth maps

- The depth maps may be encoded in a number of ways. In a preferred embodiment the object number, depth and object outline are encoded as follows. Consider the outline of a person shown in figure 3. The person is
- 20 allocated object number 1 with depth 20. The outline of the object has been determined as previously explained and at specific x,y locations. Typically where a change in direction of the object outline takes place, a particular mark is made. This mark may be an alphanumeric character, a shape, colour or other form of visual indication. Each of these marks will have a specific x, y location.
- 25 In the preferred embodiment this will be within the range 0 to 255. Between each pair of x,y locations will exist a curve. Each curve may be determined by selection from a library of all possible curve shapes. In the preferred embodiment each curve will be given a value typically within the range -127 to +128 to enable the curve to be defined using one byte. Curves that progress
- 30 clockwise from x,y location to the next x,y location may be assigned positive values whilst those that progress anticlockwise may be assigned negative values. Other assignments may be applied.

### Depth Thresholding

Adding a depth threshold to the conversion algorithm ensures that objects in front of the threshold are not distorted. This is done to prevent some of the minor distortions that occur at the edges of foreground objects when they intersect with a background object.

In the preferred conversion algorithm, a depth map is used to create a continuous depth map that forms the 3D profile of the final scene. When a threshold is applied to this process the depth map is processed to detect threshold transitions, and depth above and below the transition are processed independently.

The depth map data for this object may therefore be defined as follows:

<object number><object depth><x1,y1, curve1, x2,y2, curve2, .....x1,y1 >

The object depth information contains the data required to generate the depth of the current object. As previously mentioned, this depth data may be a single value, a ramp (linear, radial or other), or other method of describing the depth of a single object. The following methods demonstrate possible means of encoding the depth data of a single object.

The depth data may be encoded as follows for a single depth value:

<depth flag 1><depth value>

The depth data may be encoded as follows for an object with a linear ramp as its depth value:

<depth flag 2><x1,y1,depth value1,x2,y2,depth value2>

where the depth of the object varies linearly from value 1 at x1,y1 to value 2 at x2,y2.

The depth data may be encoded as follows for an object with a non-linear

ramp as its depth value:

<depth flag 3><x1,y1,depth value1,x2,y2,depth value2,gamma>

where gamma is a value that describes the non-linear variation of depth over the range between x1,y1 and x2,y2.

- 5       The depth data may be encoded as follows for an object with a radial ramp as its depth value:

<depth flag 4><x1,y1,depth value1,radius,depth value2>

where the object has depth value 1 at x1,y1 and the depth varies linearly or otherwise to a value of depth value 2 at all points radius pixels away from x1,y1

- 10       It will be understood that once an objects depth map has been transmitted it is not necessary to transmit the depth map again until the object moves or changes shape. Should only the objects position change then the new position of the object may be transmitted by assigning an offset to the object's position as follows:

- 15       <object number><xoffset, yoffset>

similarly should the objects depth change and not its position or size the following may be transmitted

<object number><depth>

- 20       It will also be understood that adjacent touching objects will share x,y coordinates and that redundancy therefore exists in the x,y coordinates that need to be transmitted to uniquely define the depth maps of every object in the scene.

In order to minimise the amount of additional data required to be transmitted or stored it is desirable to compress the data comprising the depth

maps. The compression can use any form of data compression algorithm and many will be known to those skilled in the art. Examples of compression include, although not limited to, run length encoding and Huffman encoding. Since objects may not move from frame to frame it is only necessary to transmit the difference in the depth maps between frames. Techniques that enable the differences between frames to be measured and processed are also known to those skilled in the art.

It will be appreciated that the depth map information may be included in the Vertical Blanking Interval (VBI) of an analogue television signal or MPEG or other digital transmission stream of a digital television signal as has previously been disclosed for distortion mesh transmission. Similarly, the depth map data can be added into the VOB file on a DVD.

It is known how the data may be included in the VBI and the MPEG data stream and the preferred embodiment is the technique currently used for including Closed Captioning and Teletext within standard television images. In another preferred embodiment the data may be included within the User Data area of the MPEG data stream.

In terms of including this data in the VBI or MPEG2 stream the following calculations indicate the likely size of the data requirements.

Assuming:

the VBI specification allows for 32 Bytes/video line

the maximum number of objects per image = 20

the maximum X,Y coordinates per object = 20

that the Object #, Object depth, X, Y, and shape data each takes 1 Byte

Then the bytes/object =  $1+1+3(20) = 62$  Bytes

Hence for 20 objects VBI data =  $20 \times 62 = 1240$  Bytes/frame

It should be noted that this is worst case and in practice a typical scene requires 200 Bytes/frame. This value will decrease significantly with the application of suitable data compression and taking into account redundancy etc.

In respect of including this information within an MPEG data stream, the

MPEG standard allows for the delivery of a data stream to the receiving location. Techniques to provide delivery of data within a MPEG stream may be used to deliver the depth map data to the receiving decoder. It is also possible to include this information in one of the sound channels of the MPEG signal.

- 5 Where the MPEG signal is recorded on a medium such as CD-ROM or DVD then the information may be contained within a digital audio file, as a separate digital or analog file, or recorded on the disk in other means. Other techniques will be obvious to those skilled in the art.

- It is also possible to transmit the original depth map as part of the MPEG data stream. In a preferred embodiment the resolution of the depth map may be reduced from typically 640x480x8 pixels to 80x60x8 pixels before noticeable errors in the depth of objects in the resulting 3D images become apparent. This resolution is the same as the DCT block size in an MPEG encoded video signal. Hence the depth map information may be included in the MPEG signal by
- 15 adding additional information to the DCT block that defines the depth of each block when converted into 3D. The depth map may also be included in the MPEG data stream as previously described eg audio channel, or other methods familiar to those skilled in the art. The reduced resolution depth map may also be compressed, prior to inclusion in the MPEG stream, using standard image
- 20 compression techniques including, but not limited to, JPEG, MJPEG, MPEG etc.

- In a further preferred embodiment the object outline is defined using bezier curves. Consider the outline of a person shown in figure 4. Bezier curves are applied to the outline which result in the x,y coordinates shown. The
- 25 depth map for the object may therefore be defined as

<object number><object depth><x1,y1,x1a,y1a,x2b,y2b,x2,y2,.... x1b,y1b >

Bezier curves may also be generated that require only 3 x,y coordinates as illustrated in figure 5 and may be defined as follows

<object number><object depth><x1,y1,x1a,y1a,x2,y2, ..... x8a,y8a >

This method is preferable since it requires a smaller number of elements to define the curve.

In a further preferred embodiment the object outline is defined using geometric shapes. Consider the outline of a person shown in figure 5. Geometric shapes are applied to the outline which result in the construction shown. The circle forming the head will have a centre defined by  $x_1, y_1$  and radius  $r_1$ . Triangles can be described as  $x_2a, y_2a, x_2b, y_2b, x_2c, y_2c$  and similarly for other polygons. Each geometric shape may have the general form <shape> <parameters>

10 The depth map for the object may therefore be defined as  
<object number><object depth><shape<sub>1</sub>><parameters>.....<shape<sub>n</sub>><parameters>

It will also be appreciated that the outlines and/or depth maps created using any of these methods, either compressed or uncompressed, may be stored in any suitable analogue or digital format and medium, either with or without their associated 2D images. The storage may include, but not limited to, floppy disk, hard disk, CD-ROM, laser disk, DVD, RAM, ROM, magnetic recording tape, video tape, video cassette etc. The stored outlines and/or depth maps may be recalled at a later time and/or place to enable the reconstruction of the depth maps for the generation of distortion meshes for the generation of 3D images or for further adjustment and fine tuning.

#### Decoder

Previously it has been disclosed that a distortion mesh may be used to convert a 2D image into 3D.

It is now possible to generate the necessary distortion grid from a depth map. This depth map itself being generated from additional information transmitted within the 2D video. The generation of a distortion grid from a depth map may take place in realtime, semi-realtime or offline and may be undertaken locally or, via any suitable transmission medium, at a remote location. The generation may be implemented in software or hardware.

30 Thus rather than transmit the sub pixel points of the distortion mesh as part of the 2D image the information necessary to re-create the depth map may

be transmitted. The depth map may then be reconstructed at the decoder and the conversion into a distortion grid undertaken. These conversions may be undertaken in either realtime, semi-realtime or offline at the receiving location and may be implemented in software or hardware. The preferred method of conversion from depth map data into depth map then to distortion grid is shown in a software flow chart in Figure 1 and in hardware in figure 2. The individual elements of the software conversion process function as follows:

Image Sequence Source - 2D Film or Video or some other image sequence source.

- 10 Area & Depth Source - This is the information that is sent with the Image Sequence and in the preferred embodiment is contained in the VBI or MPEG data stream. It contains information as to the position, shape and depth of each object.

- Apply Areas with Depths to Depth Map - To render an object the "area" within the object is filled/shaded according to the depth information. All area outside the shaded area is left untouched. This process results in the reconstruction of the original depth maps.

- Blur Depth Map - The hard depth map is then blurred (gaussian, fast or other) to remove any hard edges. The blurring provides a smooth transition between the objects in order to eliminate image overlapping. The blurring is slightly weighted in the horizontal direction. The vertical blur helps stop image tearing by bleeding into the images above and below thus giving a smoother transition between near and far objects.

- Process Image using Depth Map - The blurred depth map is then used as a source for displacement of the distortion grid, white being maximum displacement, black being no displacement. The amount of distortion along the horizontal axis is scaled according to the depth of the depth map at any given pixel location. In the preferred implementation the displacement for the left image is to the right, the right image displacement to the left. An overall forced parallax may be applied to the image so that the white (foreground) displaced objects are converged at screen level. The black (background) areas will then have a forced parallax equal to an unshifted image. The direction of

displacement, and forced parallax, may be varied to suite the particular requirements of the 3D display system on which the converted images are to be displayed.

Once the distortion grid has been generated, the conversion of the 2D  
5 image into 3D is undertaken as previously disclosed.

A preferred embodiment of a hardware converter to generate separate left and right images from a distortion grid is shown in figure 2, which could be fully digital. A method of implementing this process is shown in figure 2a and operates as follows.

10 The system uses two line stores, which are multi-ported to allow simultaneous access. A line of video is written into one of the line stores while the other line store is being read to generate the output video signal. At the end of the current line the line stores are swapped.

The depth information is extracted from the video signal to regenerate the  
15 depth map for the current image. For each output pixel, the depth map is translated into a pixel offset (of the distortion grid). The pixel offset is added to the pixel counter as the video line is read out of the line store. The pixel offset is a fractional value, so it is necessary to read the pixel values each side of the desired pixel and interpolate the intermediate value. The odd/even field signal  
20 from the video decoder is used to control the field sequential video output and to synchronise the viewers shutter glasses to the output video signal. The basic circuitry may be duplicated to generate separate left and right video signals for 3D displays that require this video format.

A Functional block diagram of the DDC Decoder is shown in Figure 2b.  
25 The first process is to extract the object data from the incoming video which may be inserted in the VBI or MPEG data stream. The extracted data will be in compressed format and is subsequently decompressed using a microprocessor. The output from the microprocessor is the original object outline information and is again processed to produce the depth information for each object. This data  
30 is passed to a set of three rotating field buffers, the buffers being controlled by a microprocessor. The first buffer recreates the original depth maps. The depth maps are then passed to the next buffer where the horizontal and vertical blurs



are applied. Once the blurring has been applied the resulting data is applied to the final buffer where the data is passed to the Depth to Pixel Offset Converter shown in Figure 2a. Once the data has been transferred to the Offset Converter the final buffer is cleared and is ready to receive the next depth map.

5 The DDC Decoder process is illustrated in Figure 2c. This shows the process as a timing diagram and assumes that current microprocessors are not sufficiently fast to undertake all the decoding processes simultaneously. The decoding process is therefore undertaken sequentially in a pipe-line process. As microprocessor performance improves it is expected that a number, if not all,  
10 of these processes will be undertaken simultaneously. In Figure 2c (1) four frames of video are shown, each frame comprising odd and even fields. At (2) the object list for frame four is generated whilst at (3) the depth map for frame 4 is generated. At (4) the horizontal and vertical blurs are applied and at (5) the depth map for frame 4 is output and the buffer is cleared ready for the next object  
15 list. At (5) therefore the depth map for frame 4 and the 2D image are concurrently available to enable the conversion into 3D. It should be noted that Figure 2c illustrates the process for an individual frame and in practice, at any one time, depth maps for four different frames are being generated by different sections of the hardware.

## 20 Alternative Decoders

As stated previously, currently available microprocessors are not sufficiently fast to undertake all of the decoding processes simultaneously. Therefore an alternative preferred embodiment of a decoder will be described that does not require the use of a fast microprocessor. This alternative decoder  
25 makes use of integrated circuits that have been developed for the processing of 2D and 3D computer graphics. Such dedicated graphics processors are capable of rendering greater than 500,000 polygons per second. Since these integrated circuits are manufactured in large quantities, and are thus inexpensive, the production of a low cost DDC decoder is realisable. The  
30 decoder uses the simplest polygon rendering capabilities of a graphics processor, unshaded texture mapped polygons.

The decoding process may be more easily understood by explaining the

- process as if performed manually. This is illustrated by the flow chart in Figure 9 and subsequent drawings. The process commences by producing an undistorted mesh, using as many polygons in the xy plane as necessary to achieve a relatively smooth deformation. In the preferred embodiment 10,000 polygons per field may typically be used. An example of a section of undistorted mesh is shown in Figure 10. The depth map for the object to be converted into 3D (in this example, a cone whose tip is orientated towards the viewer as per Figure 11) is applied to the mesh which is modified such that the z axis elevation of the mesh's polygons is dependant upon the value of the corresponding pixel in the depth map. This is illustrated in Figure 12. The next step in the process is to translate the z axis elevation of each polygon into an equivalent x displacement. This is illustrated in Figures 13 through 16. In Figure 13 an x axis section through the z elevation mesh is shown. In Figure 14 a row of points is selected along the x axis and rotated 90° about the point y=0. Figure 15 shows the effect of the rotation at the 45° point and Figure 16 after 90° of rotation. This process is repeated for all x rows which effectively translates the depth maps z axis elevations into an x displacement.

- The next step in the process is to map the original video frame onto an undistorted mesh as per Figure 17. The undistorted mesh is then morphed into the x displacement map generated previously as per Figure 18. The resulting video image will then distend according to the mesh's displacement, Figure 19. This has the same effect as stretching the image as described in our previous application PCT/AU96/00820. The stretched image may be used to form one view of a stereo pair, the other being formed by rotating the points in Figure 13 by -90° which will produce a mesh and corresponding image as shown in Figure 20.

- When implementing this process in hardware, using a 2D/3D graphics processor, it is possible to eliminate the step of translating the z axis elevations into equivalent x displacements. Since it is known that polygons that are closer to the viewer require to be shifted further laterally than polygons further away from the viewer the displacement mesh of Figure 18 can be produced directly from the depth map of Figure 11. This can be achieved since there is a direct

relationship between the grey scale value of the depth map and the shift of each corresponding polygon. This simplified process is illustrated as a flow chart in Figure 21.

#### Alternative Hardware Decoder

- 5 A block diagram of a hardware DDC decoder based upon a 2D/3D graphics processor is shown in Figure 8. The extraction and generation of the depth maps from the DDC data remains as previously described and illustrated in Figure 2b. The operation of the decoder can be as follows. Incoming video is passed to the DDC data decoder which extracts the DDC information from the
- 10 video stream and recovers the depth map for each video field. The video is also converted into RGB, YUV or other standard video format and placed into a dual field store. This enables a video field to be read out into the 2D/3D graphics processor at the same time as a new field is being loaded. The depth map output from the DDC data decoder is passed to the Depth Map to Polygon mesh
- 15 converter which defines the shape of the polygons to be processed by the 2D/3D graphics processor. The other input to the graphics processor is the original 2D video image which is used as a texture map to which the polygons are applied. The output from the graphics processor is passed to a field store that enables the video to be read out in an interlaced format. This is
- 20 subsequently passed to a PAL/NTSC encoder, the output of which will be a standard field sequential 3D video signal.

#### Re-use of Depth Maps

- It will also be appreciated that it is not necessary to transmit the entire depth map to the receiver since the same depth maps will be reused when the
- 25 same or a similar scene is displayed again. It is therefore desirable that the decoder retains in memory a sequence of previously transmitted depth maps for reuse rather than require to re-process a depth map that has been sent previously. Either the depth map or the resulting distortion mesh may be retained in the decoders memory which may be volatile or non-volatile and
- 30 comprises, although not limited to, RAM, EEPROM, flash memory, magnetic or optical storage etc. It is also intended that generic depth maps and/or distortion grids be stored in the decoder. This will enable frequently occurring scenes to

be converted without the need to transmit or convert the depth map. The correct depth map may be selected by including data in the video signal that uniquely identifies to the decoder which default depth map to apply. It is also intended that the decoder should have the capability of receiving new or altered depth maps so as to enable a library of depth maps and/or distortion grids to be maintained within the decoder. This library may be held within, although not limited to, the following media RAM, EEPROM, flash memory, magnetic or optical storage etc. It is intended that the library be updated by the transmission of specific depth maps or distortion grids that are included in the video signal. It is also intended that the library could be maintained by means of external or internal plug-in modules containing such depth maps or distortion grids and by down loading to the decoder via the video signal, modem or the Internet. Other means of maintaining the library will be obvious to those skilled in the art.

The general format of DDC Data included in the video signal may, in the preferred embodiment, include a header flag which indicates to the decoder the nature of the following data. A number of existing standards could be used for this format which in general will have the following format;

<Flag#><data to be acted upon by the decoder>

examples of flags include, although not limited to, the following;

- 20 Flag 1 - The following data is a depth map
- Flag 2 - The following data relates to the relocation of an existing object
- Flag 3 - The following data relates to the change in depth of an object
- Flag 4 - The following data relates to the reuse of a previously transmitted depth map
- 25 Flag 5 - The following data relates to the use of a depth map within the library
- Flag 6 - The following data relates to the modification of a depth map within the library
- Flag 7 - The following data relates to the addition of a new depth map

within the library

Flag 8 - The following data relates to the deletion of an existing library depth map

Flag 9 - The following data relates to the use of motion parallax delays

5 Flag 10 - The following data relates to the use of forced parallax

Flag 11- The following data relates to the use of a mathematical algorithm

Flag 12- The following data relates to the use of a mathematical algorithm library

10 Alternatively the length of each data packet could be a different length which would uniquely define each packet and alleviate the need for a Flag.

In the preceding description the same process could be applied to distortion grids.

It is also intended that the decoder should be able to determine the most

15 suitable depth map to apply to the associated 3D image by automatically making a selection from a nominated range within the library. For example the DDC data could direct the decoder to search the library of depth maps between specific index points or by generic category ie Evening News, Horse Race. The decoder would then select the appropriate map based upon object size, shape, 20 speed, direction, colour, shading, obscuration etc.

As a by product of the decoding process the original depth map, created during the encoding process, can be made available in a suitable format for use with 3D display systems that require a 2D image and object depth information. These displays may be autostereoscopic and/or volumetric in nature.

## 25 Alternative approaches

Alternatively, the mesh distortion process may be defined by a mathematical algorithm. This algorithm may be stored in the decoder and the DDC data then comprises the parameters to which the algorithm is applied. For example consider the general formula

$$30 \quad f(x,y) = [1 - \exp(-1 \{ |x| - r_x \} \cdot dx \cdot I)] \cdot \sin((PI \cdot x) / r_x) + PI/2) \cdot \\ [1 - \exp(-1 \{ |y| - r_y \} \cdot dy \cdot I)] \cdot \sin((PI \cdot y) / r_y) + PI/2)$$

where

- |   |    |   |                                   |
|---|----|---|-----------------------------------|
|   | PI | - | constant 3.14159...               |
|   | x  | - | absolute value of x               |
|   | rx | - | range of x , $-rx \leq x \leq rx$ |
| 5 | ry | - | range of y , $-ry \leq y \leq ry$ |
|   | dx | - | damping factor for x              |
|   | dy | - | damping factor for y              |

If the following values are passed to the equation via the DDC data then the distortion grid in figure 7 is produced .

- |    |               |
|----|---------------|
| 10 | rx = ry = 50  |
|    | dx = dy = 0.1 |

In terms of DDC data the following would be transmitted

<Flag 11><50,50,0.1,0.1>

- Additionally these parameters may be stored in memory within the  
 15 decoder in the form of a library and recalled by sending the library index within the DDC data.

In terms of DDC data the following would be transmitted:

<Flag 12>< library index>

- A further example of the use of Flag 9, motion parallax, will be considered. Prior  
 20 art has shown that a 2D image that has movement in a horizontal direction may be converted into 3D by the use of motion parallax. It is desirable that the image motion is due to horizontal movement of the camera ie a camera pan. In this technique one of the viewers eyes receives the current video field whilst the other eye receives a previous field ie there is a delay between the images  
 25 presented to each eye. The choice as to which eye receives the delayed image,

and the amount of delay, is dependent upon the direction and speed of horizontal motion in the 2D image. The delay would typically be in the range 1 to 4 fields. The choice of direction and delay can be made by considering an overall motion vector within the 2D image and selecting these parameters based upon the size, direction and stability of the vector. In the prior art it has been necessary to perform these calculations in real time at the viewing location requiring substantial processing capabilities. It has been found that a preferred method is to calculate the motion vectors, and hence the direction and amount of field delay, at the transmission location and then transmit these values as part of the video signal. Thus in a preferred embodiment the transmitted data would be as follows;

<Flag9><direction and delay>

where <direction and delay> would typically be in the range -4 to +4.

The DDC decoder could then recover this data and use it to insert the correct amount and direction of field delay into the processed images.

The distortion mesh may also be obtained in realtime by the addition of a camera to an existing 2D video or film camera, which, using a variable focus lens and a sharpness detecting algorithm, determines the depth of objects in the image being viewed by the camera. Object depth may be obtained from a stereo pair of cameras whereby correlation between pixels in each image indicates object depth. The output from these configurations, before processing to provide distortion mesh data, may be used to generate depth maps. This is achieved by processing the original 2D image and applying shading, or other indications, to indicate object depth as explained in this disclosure. The outline of each object may be obtained from object characteristics such as object size, colour, speed of motion, shading, texture, brightness, obscuration as well as differences between previous and current and future images. Neural networks and expert systems may also be used to assist with identifying objects. It is also proposed to shift the image within the camera so that a physical offset of subsequent images on the cameras image sensor are obtained. This shift may be produced optically, electro-optically, mechanically, electro-mechanically, electronically or other methods known to those skilled in the art. The shift may

be in a single direction ie x or multiple directions either sequentially or randomly. The shift of objects on the cameras sensor will be greater for those objects that are closer to the camera. By correlating the pixels in successive images the depth of each object may be determined. Alternatively a plurality of cameras

5 could be used.

Other techniques may be used to determine the depth of objects within a scene. These include, but are not limited to, the use of range finders operating on optical, laser, ultrasonic or microwave principles or the projection of grids over objects within the scene and determining the depth of an object from the

10 resulting distortion of the grids.

A number of Computer Aided Drawing (CAD) software packages enable wire frame models of the images being drawn to be produced. These wire frame models, which are a projection of the facets of the object, can be used to determine the position of objects within a scene.

15 Similarly, part of the rendering process of 3D non stereoscopic images from packages like 3D Studio allows the distance from the camera to each pixel to be output. This render can produce a gray scale image which has the closest object appearing white, and the furthest point from the camera appearing black. This gray scale map may be used as a compatible depth map for conversion into

20 stereoscopic 3D.



THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A method of producing a depth map for use in the conversion of 2D images into stereoscopic images including the steps of:
  - identifying at least one object within a 2D image;
  - allocating said or each object with an identifying tag;
  - allocating said or each object with a depth tag; and
  - determining and defining an outline for each or said object.
2. A method as claimed in claim 1 wherein the object outline is defined by a series of co-ordinates, curves and/or geometric shapes.
3. A method as claimed in any preceding claim wherein said identifying tag is a unique numerical number.
4. A method as claimed in any preceding claim wherein identifying said at least one object includes the step of comparing said 2D image with a library of generic scenes.
5. A method as claimed in any preceding claim wherein the step of determining the outline further includes tracing the object pixel by pixel.
6. A method as claimed in any one of claims 1 to 4 wherein the step of determining the outline further includes using straight lines to approximate the outline of the object.
7. A method as claimed in any one of claims 1 to 4 wherein the step of determining the outline further includes using curve approximations to approximate the outline of the object.
8. A method as claimed in any one of claims 1 to 4 wherein the step of determining the outline further includes using bezier curves to approximate the

outline of the object.

9. A method as claimed in any one of claims 1 to 4 wherein the step of determining the outline further includes comparing the object with a library of curves and/or generic or geometric shapes to approximate the outline.

10. A method as claimed in claim 9 further including scaling the curve and/or generic or geometric shape to best fit the object.

11. A method as claimed in any preceding claim wherein the depth tag includes a colour code.

12. A method as claimed in claim 11 wherein white represents objects relatively close to the viewer, and black indicates objects relatively distant from the viewer.

13. A method as claimed in any one of claims 1 to 10 wherein said depth tag is a numerical value.

14. A method as claimed in claim 13 wherein said numerical value ranges from 0 to 255.

15. A method as claimed in any preceding claim wherein said at least one object is further divided into a plurality of segments, each segment being assigned a depth tag.

16. A method as claimed in claim 15 wherein the variation in depth is defined by a ramp function.

17. A method as claimed in claim 16 wherein said ramp function is a linear or radial ramp.

18. A method as claimed in any preceding claim further including tracking the or each object on successive frames of the image, and determining and assigning depth tags for the object in each respective frame.
19. A method as claimed in any preceding claim further including adding a texture bump map to the or each object.
20. A method as claimed in claim 19 wherein said texture bump map is defined by breaking the object into a plurality of components and assigning each component a separate depth tag.
21. A method as claimed in claim 19 wherein said texture bump map is defined by the luminance values of individual components of the object.
22. A method as claimed in claim 19 wherein said texture bump map is defined by the chrominance, saturation, colour grouping, reflections, shadows, focus and/or sharpness of individual components of the object.
23. A method as claimed in any preceding claim further including producing greyscale images of 80x60x8 bit resolution of each 2D image.
24. A method of producing a depth map for use in the conversion of 2D images in a video sequence into stereoscopic images including the steps of:
- identifying and numbering each frame of the video sequence;
  - identifying at least one object within the video sequence;
  - allocating each object with an identifying tag;
  - dividing the video sequence into a plurality of partial sequences;
  - transmitting the partial sequences to a plurality of operators, each operator determining and defining an outline for each object in the partial sequence previously allocated said identifying tag;
  - receiving said partial sequences from said plurality of operators;
  - collating said partial sequences to reform the video sequence; and

allocating each object with a depth tag;

25. A method as claimed in claim 24 further including the step of adding security measures to the sequence prior to said video sequence being divided into a plurality of partial sequences.

26. A method as claimed in claim 25 wherein said security measures include removing audio from and/or modifying the colours of the video sequence.

27. A method of encoding a depth map for use in the conversion of 2D images into stereoscopic images including :  
allocating an object identifier to an object;  
allocating said object with a depth tag; and  
defining the object outline.

28. A method as claimed in claim 27 wherein said object outline is defined by a series of x,y coordinates, each x,y coordinate being separated by a curve.

29. A method as claimed in claim 28 wherein each said curve is stored in a library and allocated a unique number.

30. A method as claimed in claim 28 or claim 29 wherein said object outline also includes data on the orientation of each curve.

31. A method as claimed in any one of claims 28 to 30 wherein each said curve is a bezier curve.

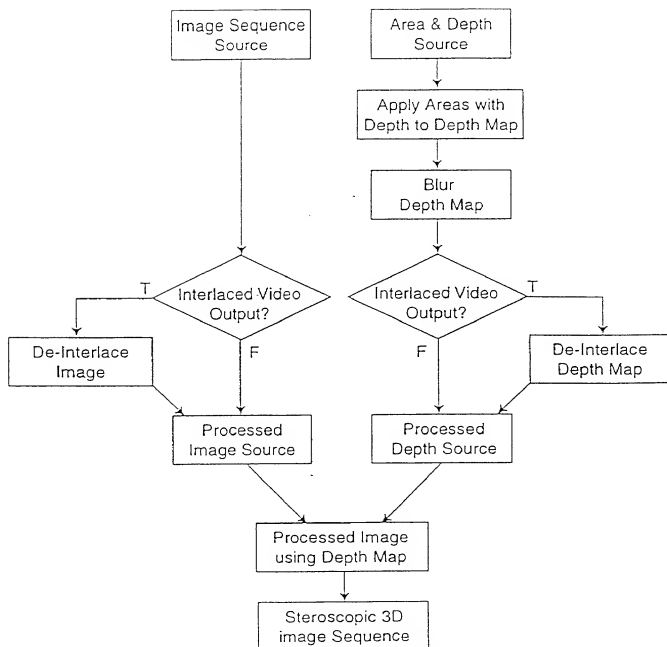
32. A method as claimed in claim 27 wherein said object outline is defined by at least one geometric shape.

33. A method as claimed in claim 32 wherein said at least one geometric shape is defined by the form of the shape and the parameters of the shape.

34. A method as claimed in any one of claims 27 to 33 wherein the encoding of the depth tag of said object includes:  
allocating a type of depth; and  
allocating a depth for the object;
35. A method as claimed in claim 34 wherein the type of depth includes single value, linear ramp, or radial ramp.
36. A method of transmitting 2D images and depth map data for viewing on a stereoscopic viewing system including:  
embedding the depth map data in the Vertical Blanking Interval of an analogue television signal.
37. A method of transmitting 2D images and depth map data for viewing on a stereoscopic viewing system including:  
embedding the depth map data in the MPEG of a digital television signal.
38. A method of transmitting 2D images and depth map data for viewing on a stereoscopic viewing system including:  
embedding the depth map data in the VOB file of a DVD.
39. A method of decoding depth map data including:  
receiving 2D images and depth map data corresponding to said 2D images;  
determining an object identified in the depth map data;  
determining the corresponding depth for said object;  
shading said object dependent on the depth; and  
processing the image to form a distortion grid wherein the amount of distortion is dependent on the depth.

40. A method as claimed in claim 39 further including:  
blurring the depth map prior to forming the distortion grid to thereby provide a smoother transition between objects.
41. A method of decoding depth map data including:  
producing an undistorted mesh from a plurality of polygons;  
applying the depth map to said mesh, wherein elevation of polygons within the mesh is dependent on depth recorded in the depth map;  
converting the elevation of the polygons into translational displacements to thereby create a distorted mesh; and  
applying the distorted mesh to a 2D image corresponding to the depth map data.
42. A decoder for decoding depth map data including a library of depth maps, wherein incoming data is compared with said library and wherein if said data does not match a depth map in said library of depth maps, the decoder processes said incoming data using the method as claimed in claim 41.

Fig 1.



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Fig 2a.

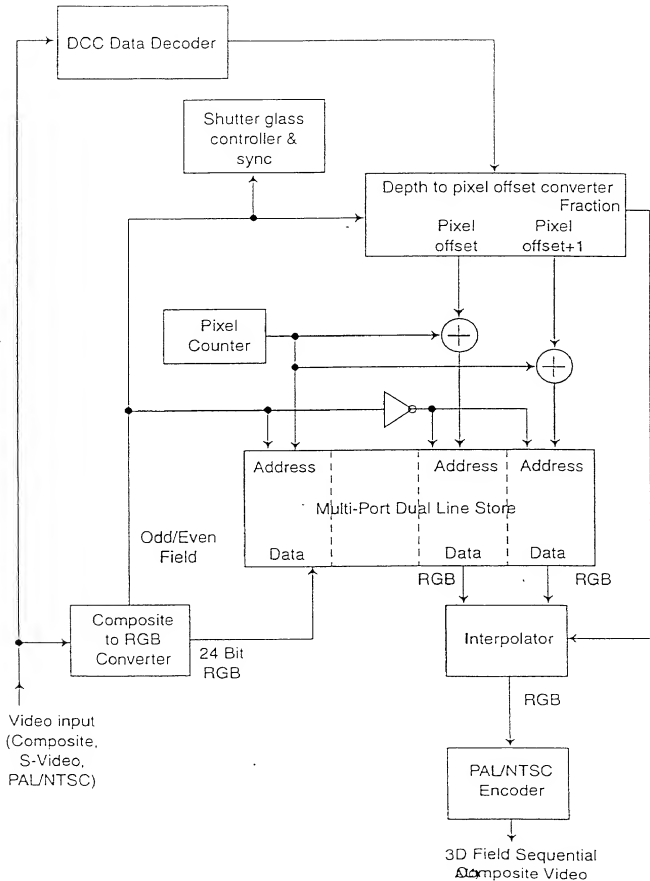




Fig 2b.

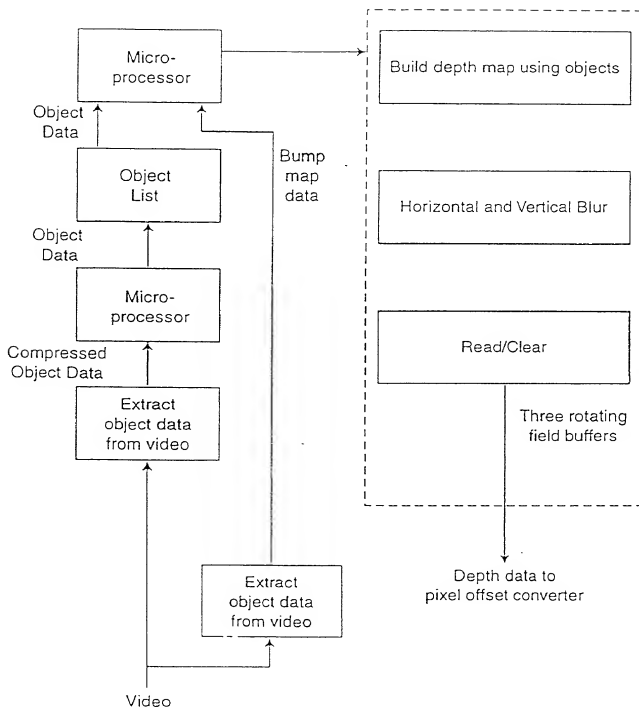
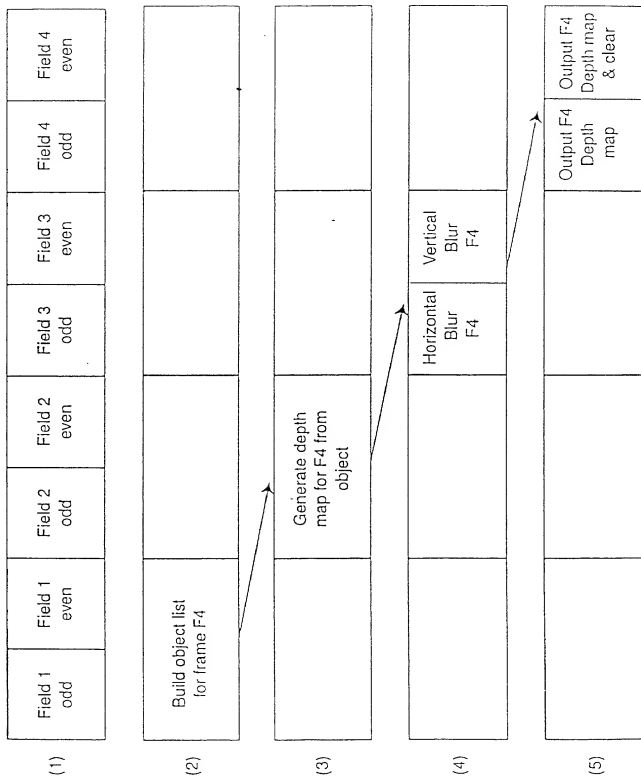
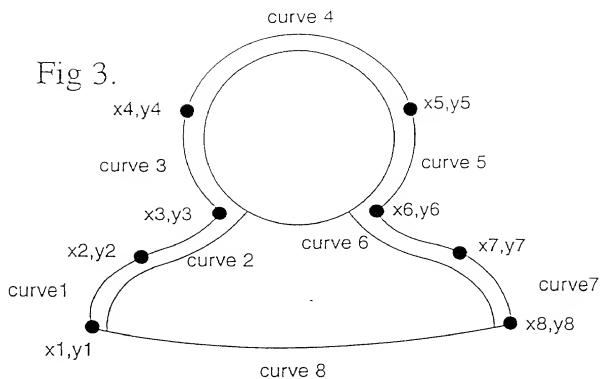


Fig 2c.



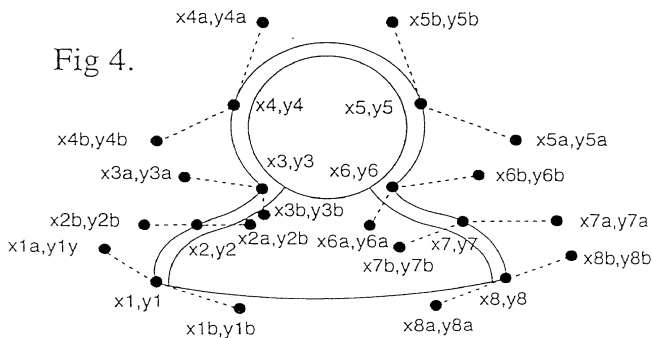
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Fig 3.



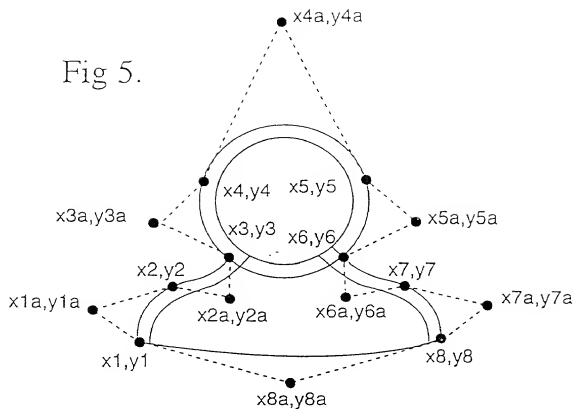
Object Number 1, Depth 20

Fig 4.



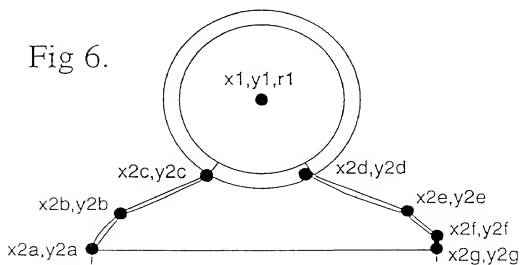
Object Number 1, Depth 20

Fig 5.



Object Number 1, Depth 20

Fig 6.



Object Number 1, Depth 20

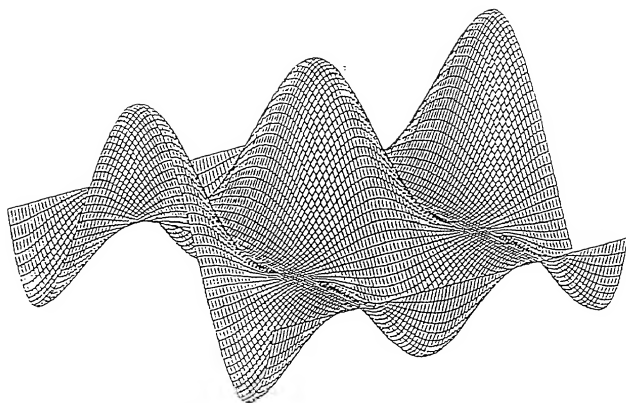


Fig 7.

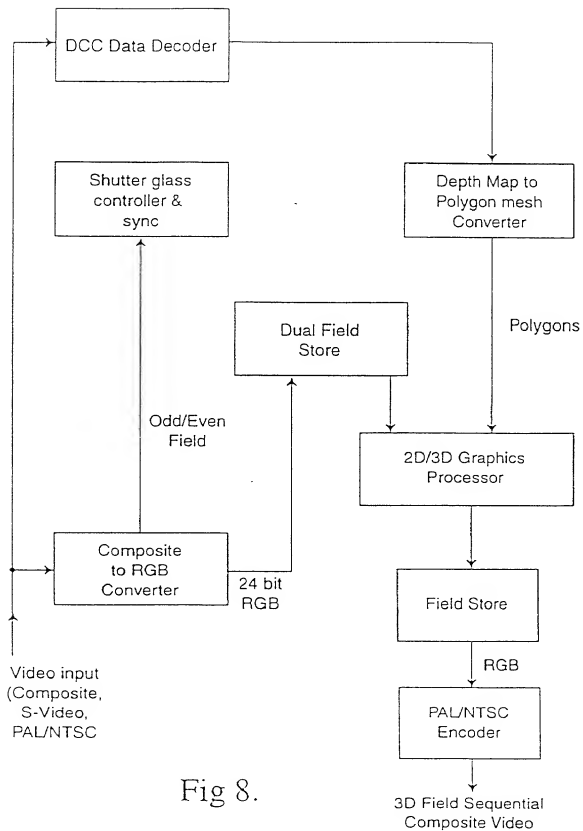


Fig 8.

Fig 9.

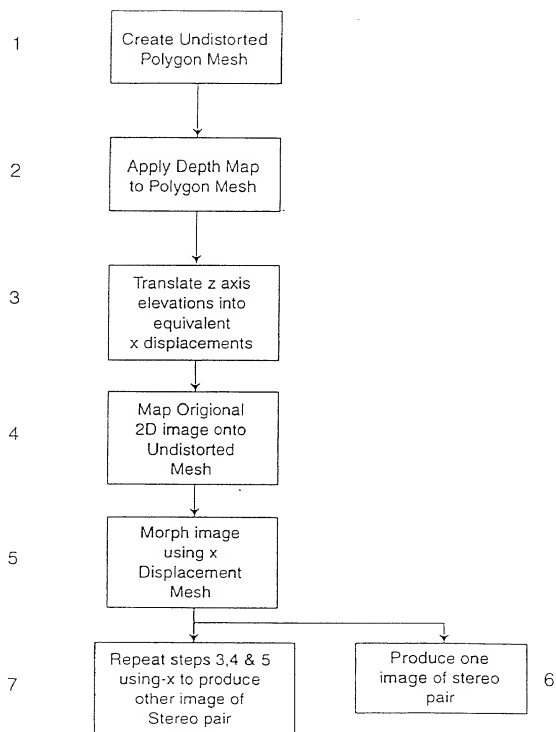


Fig 10.

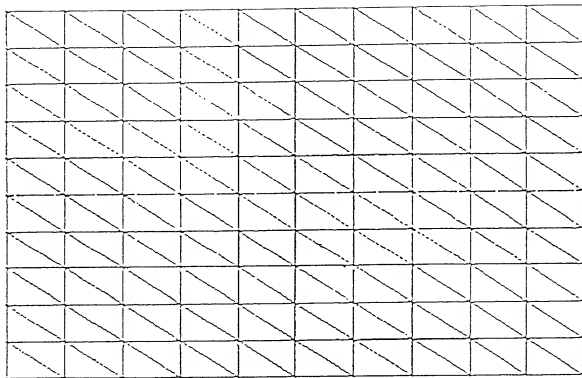
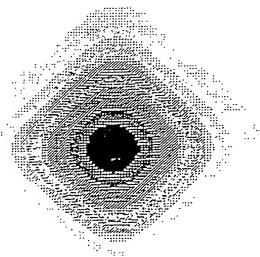


Fig 11.





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Fig 12.

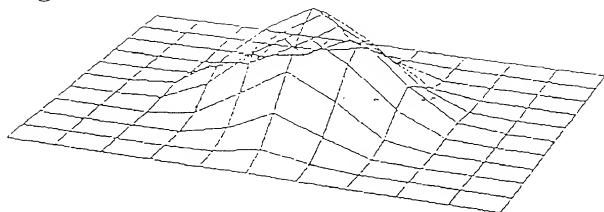


Fig 13.



Fig 14.



Fig 15.



Fig 16.



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Fig 17.

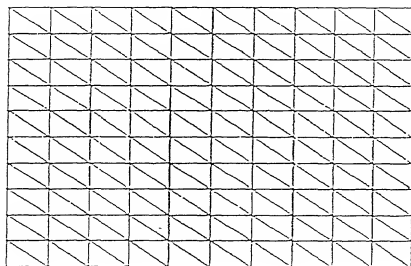
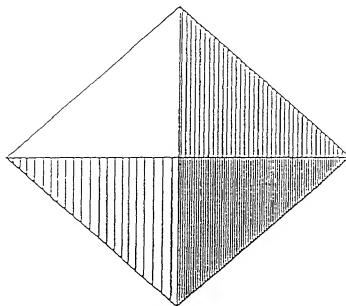


Fig 18.

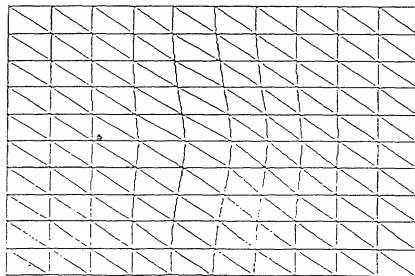


Fig 19.

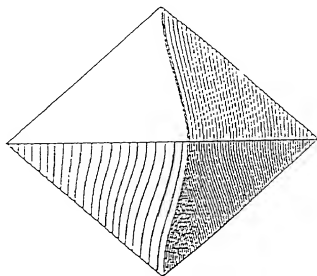


Fig 20.

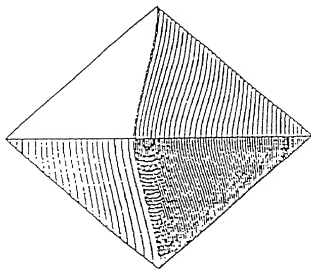
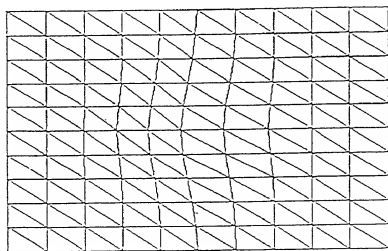
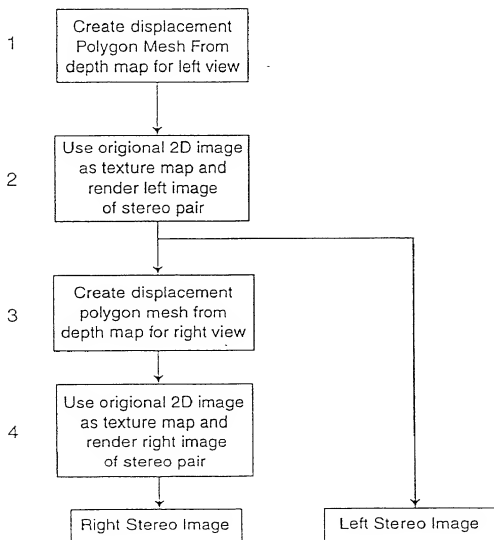


Fig 21.



## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled IMPROVED  
IMAGE CONVERSION AND ENCODING TECHNIQUES, the specification of which

(check one) \_\_\_\_\_ is attached hereto. International  
x was filed on 03.12.1998 as Application Serial No. PCT/AU98/01005  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed
<u>PP0778</u> (Number)	<u>Australia</u> (Country)	<u>5th December, 1997</u> (Day/Month/Year Filed)	Yes <del>xxx</del>
<u>PP2865</u> (Number)	<u>Australia</u> (Country)	<u>8th April, 1998</u> (Day/Month/Year Filed)	Yes <del>xxx</del>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which

occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

(Status--patented,  
pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status--patented,  
pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: James R. Haller, Registration No. 24,906; Gregory P. Kaihoi, Registration No. 30,952; Philip M. Goldman, Registration No. 31,162; Edward S. Hotchkiss, Registration No. 33,904; and David C. West, Registration No. 35,735.

Address all telephone calls to Edward S. Hotchkiss at telephone No. 612-347-7144.  
Address all correspondence to: Edward S. Hotchkiss

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1100 International Centre  
900 Second Avenue South  
Minneapolis, MN 55402.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Inventor's signature: [Signature] Date 2/3/00

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Citizenship: Australia

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Australia

Full name of second joint inventor, if any: \_\_\_\_\_

Second Inventor's signature: \_\_\_\_\_ Date \_\_\_\_\_

Residence: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_